


**FILED**

JUL 02 2007

CLERK, U.S. DISTRICT COURT  
WESTERN DISTRICT OF TEXAS  
BY  DEPUTY CLERKIN THE UNITED STATES DISTRICT COURT  
FOR THE WESTERN DISTRICT OF TEXAS  
WACO DIVISION

UNITED STATES OF AMERICA,

Plaintiff,

VS.

JOSE OCASIO,

Defendant.

CRIMINAL NO.

**WC7CR114**INFORMATION[Vio: 29 U.S.C. 439(c) – Falsification,  
Concealment or Destruction of Financial Records  
Required Kept by Labor Union in Private Sector]

## THE UNITED STATES ATTORNEY CHARGES:

1. At all times material to this Information, the National Association of Letter Carriers Union (NALC), Local Branch 404, was a labor organization engaged in an industry affecting commerce within the meaning of Sections 402(i) and 402(j) of Title 29, United States Code.

2. On or about February 25, 2004, in the Western District of Texas, the Defendant,

**JOSE OCASIO,**

did willfully make and cause to be made a false entry in a record required to be kept by Section 436 of Title 29, United States Code, that is, the treasurer's financial records, a record on matters required to be reported in the annual financial report of the National Association of Letters Carriers Union and required to be filed with the Secretary of Labor, in violation of Title 29, United States Code, Section 439(c).

JOHNNY SUTTON  
United States AttorneyBy:   
GREGORY S. GLOFF  
Assistant U. S. Attorney

SEALED \_\_\_\_\_

UNSEALED X

PERSONAL DATA SHEET  
UNITED STATES DISTRICT COURT  
WESTERN DISTRICT OF TEXAS  
WACO DIVISION

DATE: 07-02-2007

MAG CT. # \_\_\_\_\_

CASE NO. \_\_\_\_\_

**WC7CR114**

COUNTY: McLENNAN

CHIEF JUDGE WALTER S. SMITH, JR.

ASSISTANT U. S. ATTORNEY \_\_\_\_\_ GREGORY S. GLOFF \_\_\_\_\_

DEFENDANT: JOSE OCASIO

DOB: \_\_\_\_\_

CITIZENSHIP: United States X Mexican \_\_\_\_\_ Other \_\_\_\_\_

INTERPRETER NEEDED: Yes \_\_\_\_\_ No X Language \_\_\_\_\_

DEFENSE ATTORNEY: \_\_\_\_\_

DEFENDANT IS: In Jail NO WHERE: \_\_\_\_\_  
On Bond NO

PROSECUTION BY: INFORMATION X INDICTMENT \_\_\_\_\_

OFFENSE: (Code & Description) 29 U.S.C. 439(c) – Falsification, Concealment of Destruction of Financial Records Required Kept by Labor Union in Private Sector

OFFENSE IS: FELONY \_\_\_\_\_ MISDEMEANOR X

MAXIMUM SENTENCE: Not more than 1 year custody; not more than \$10,000 fine; \$25 special assessment;

PENALTY IS MANDATORY: YES concerning:  
Special Assessment and TSR

REMARKS: 